

SONO TEK CORP

FORM 8-K (Current report filing)

Filed 08/27/19 for the Period Ending 08/22/19

Address	2012 RT 9W BLDG 3 MILTON, NY, 12547
Telephone	8457952020
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Industry	Industrial Machinery & Equipment
Sector	Industrials
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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): **August 22, 2019**

Sono-Tek Corporation

(Exact name of registrant as specified in its charter)

Commission File Number: **0-16035**

New York
(State of Incorporation)

14-1568099
(I.R.S. Employer ID No.)

2012 Route 9W, Milton, New York
(Address of Principal Executive Offices)

12547
(Zip Code)

Registrant's telephone number, including area code **(845) 795-2020**

Check appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of each exchange on which registered
None	N/A	N/A

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Section 5 – Corporate Governance and Management

Item 5.07: Submission of Matters to a Vote of Security Holders.

The following matters were voted upon at the Company’s Annual Meeting of Shareholders of Sono-Tek Corporation (the “Company”) held on August 22, 2019:

1. The shareholders elected, by a plurality of the votes cast, one nominee to the Board of Directors to serve until the Annual Meeting of Shareholders in 2020 and until her successor is duly elected and qualified.

<u>Nominee</u>	<u>For</u>	<u>Against</u>	<u>Broker Non-Votes</u>
Carol O’Donnell	4,656,519	69,302	8,274,037

2. The shareholders elected, by a plurality of the votes cast, four nominees to the Board of Directors to serve until the Annual Meeting of Shareholders in 2021 and until their successors are duly elected and qualified.

<u>Nominee</u>	<u>For</u>	<u>Against</u>	<u>Broker Non-Votes</u>
Dr. Christopher L. Coccio	4,414,159	311,662	8,274,037
Dr. Joseph Riemer	4,371,169	354,652	8,274,037
Philip Strasburg	4,660,709	65,112	8,274,037
R. Stephen Harshbarger	4,583,852	141,969	8,274,037

Eric Haskell, Dr. Donald Mowbray and Samuel Schwartz, who were not standing for re-election, continued to serve as Directors following the Annual Meeting of Shareholders.

3. The shareholders ratified by the affirmative vote of the majority of the votes cast on the proposal, the appointment of Liggett & Webb, P.A., as the Company’s independent auditors for the fiscal year ending February 29, 2020.

For:	12,996,800
Against:	1,058
Abstained:	2,000

There were no broker non-votes.

4. The shareholders approved, in a non-binding advisory vote, the compensation of the Company’s named executive officers.

For:	4,311,764
Against:	154,047
Abstained:	260,010
Broker Non-Votes:	8,274,037

5. The shareholders recommended, by a non-binding advisory vote, a one-year frequency with respect to future non-binding shareholder votes on executive compensation, which the Company accepted.

	<u>One-Year</u>	<u>Two-Year</u>	<u>Three-Year</u>
For:	2,315,575	155,037	2,077,307

There were no Broker Non-Votes.

Signatures

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

SONO-TEK CORPORATION

By: /s/ Stephen J. Bagley
Stephen J. Bagley
Chief Financial Officer

August 27, 2019